

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27 (b)) - INDEPENDENT INVENTOR

Docket No.
03100326AA

Application No.
10/599,267

Filing Date
09/25/2006

Patent No.
N/A

Issue Date
N/A

Applicant/ M. Stadelmann et al.
Patentee: N/A

Invention: **ELECTRODE ASSEMBLY FOR THE ELECTROCHEMICAL TREATMENT OF LIQUIDS WITH A LOW CONDUCTIVITY**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled above and described in:

- ☐ the specification to be filed herewith.
☒ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ No such person, concern or organization exists.
☒ Each such person, concern or organization is listed below.

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

FULL NAME **CONDIAS GmbH**
 ADDRESS **Fraunhofer Strasse 1b, 25524 Itzehoe Germany**
☐ Individual ☒ Small Business Concern ☐ Nonprofit Organization

FULL NAME **G.E.R.U.S. Gesellschaft für elektrochemisches Recycling Umwelt-und Solartechnologie mbH**
 ADDRESS **Ostendstrasse 1, 12459 Berlin, Germany**
☐ Individual ☒ Small Business Concern ☐ Nonprofit Organization

FULL NAME
 ADDRESS
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME
 ADDRESS
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR **Manuela Stadelmann**

SIGNATURE OF INVENTOR _____

DATE:

24. 10. 06

NAME OF INVENTOR **Manfred Blaschke**

SIGNATURE OF INVENTOR _____

DATE:

16. 10. 2006

NAME OF INVENTOR **Maja Wuensche**

SIGNATURE OF INVENTOR _____

DATE:

17. 10. 2006

NAME OF INVENTOR **Helmut Petzer**

SIGNATURE OF INVENTOR _____

DATE:

16. 10. 2006

NAME OF INVENTOR **Alexander Kraft**

SIGNATURE OF INVENTOR _____

DATE:

24. Oct. 2006

NAME OF INVENTOR **Thorsten Matthée**

SIGNATURE OF INVENTOR _____

DATE:

23. Oct. 2006

NAME OF INVENTOR **Matthias Fryda**

SIGNATURE OF INVENTOR _____

DATE:

23 Oct. 2006

NAME OF INVENTOR _____

SIGNATURE OF INVENTOR _____

DATE:

NAME OF INVENTOR _____

SIGNATURE OF INVENTOR _____

DATE:

NAME OF INVENTOR _____

SIGNATURE OF INVENTOR _____

DATE:

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27 (c)) - SMALL BUSINESS CONCERN**

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Patentee: N/A

Invention: **ELECTRODE ASSEMBLY FOR THE ELECTROCHEMICAL TREATMENT OF LIQUIDS
WITH A LOW CONDUCTIVITY**

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: **G.E.R.U.S. Gesellschaft für elektrochemisches Recycling Umwelt- und Solartechnologie mbH**
ADDRESS OF CONCERN: **Ostendstrasse 1, 12459 Berlin Germany**

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above identified invention described in:

- ☐ the specification filed herewith with title as listed above.
- ☒ the application identified above.
- ☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below.

FULL NAME

ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME

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NAME OF PERSON SIGNING:

TITLE OF PERSON SIGNING

OTHER THAN OWNER:

ADDRESS OF PERSON SIGNING:

P. Hering / Petra Hering
Leiterin der Ökonomie
G.E.R.U.S. Gesellschaft für elektrochemisches Recycling Umwelt- und Solar-technologie mbH
Ostendstrasse 1
12459 Berlin Germany

SIGNATURE:

DATE:

*Petra Hering**17.10.2006*

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27 (c)) - SMALL BUSINESS CONCERN**

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- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: CONDIAS GmbH

ADDRESS OF CONCERN: Fraunhofer Strasse 1b, 25524 Itzehoe, Germany

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☐ each such person, concern or organization is listed below.

FULL NAME

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Individual

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Small Business Concern

☐

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NAME OF PERSON SIGNING:

Thorsten Matthée

TITLE OF PERSON SIGNING

OTHER THAN OWNER:

Managing Director

ADDRESS OF PERSON SIGNING:

CONDIAS GmbHFraunhofer Strasse 1b25524 Itzehoe Germany

SIGNATURE:

Thorsten MatthéeDATE: 31. Oct. 2006